



2-23-09

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PTO/SB/21 (11-08)

Approved for use through 12/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/925,284-Conf. #2660
	Filing Date	August 9, 2001
	First Named Inventor	Daniel HAWIGER
	Art Unit	1644
	Examiner Name	R. B. Schwadron
Total Number of Pages in This Submission	Attorney Docket Number	RUJ-001CNCPRCE2

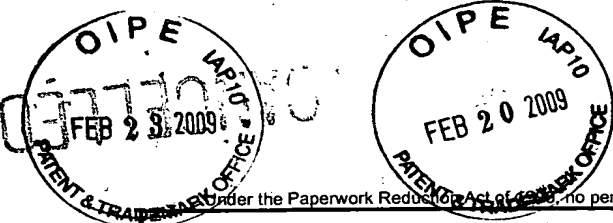
ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Return Receipt Postcard PTO form SB/08 Copies of Sixty-nine (69) References
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	LAHIVE & COCKFIELD, LLP		
Signature			
Printed name	Jill Gorny Sloper, Esq.		
Date	February 20, 2009	Reg. No.	60,760

Express Mail Label No. EM 192 977 472 US Dated: February 20, 2009



PTO/SB/17 (10-08)

Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2009☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$) 180.00

Complete if Known

Application Number	09/925,284-Conf. #2660
Filing Date	August 9, 2001
First Named Inventor	Daniel HAWIGER
Examiner Name	R. B. Schwadron
Art Unit	1644
Attorney Docket No.	RUJ-001CNCPRCE2

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 12-0080 Deposit Account Name: Lahive & Cockfield, LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	52	26
Each independent claim over 3 (including Reissues)	220	110
Multiple dependent claims	390	195

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)** **Multiple Dependent Claims**

_____ - or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

_____ - or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____ - 100 = _____	/50 = _____	(round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

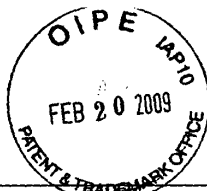
Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	60,760	Telephone	(617) 994-0869
Name (Print/Type)	Jill Gorny Sloper, Esq.	Date	February 20, 2009		

Express Mail Label No. EM 192 977 472 US Dated: February 20, 2009



Express Mail Label No. EM 192 977 472 US Dated: February 20, 2009

Docket No.: RUJ-001CNCPRCE2
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Daniel Hawiger *et al.*

Application No.: 09/925,284

Confirmation No.: 2660

Filed: August 9, 2001

Art Unit: 1644

For: ENHANCED ANTIGEN DELIVERY AND
MODULATION OF THE IMMUNE
RESPONSE THEREFROM

Examiner: R. B. Schwadron

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

02/24/2009 HBLANCO 00000022 120000 09925284
01 FC:1006 100.00 DA

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (SIDS)

Dear Madam:

In accordance with 37 CFR 1.97, Applicants hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of the documents required under 37 CFR 1.98(a)(2) accompany this statement.

Applicants have not submitted copies of each cited U.S. patent and U.S. patent application in accordance with 37 CFR 1.98(a)(2)(ii). Applicants submit herewith copies of foreign patents and non-patent references in accordance with 37 CFR 1.98(a)(2).

Applicants also call to the attention of the Examiner the following applications directed to related subject matter and Office Actions cited therein:

Serial No.	Filing Date	First Named Inventor	Docket No.	Publication No.	Publication Date
09/586,704	06/05/2000	Ralph M. Steinman	RUJ-001CNRCE2		
10/800,023	03/12/2004	Daniel Hawiger	RUJ-001CNCP2	2004/0258688	12/23/2004

02/24/2009 HBLANCO 00000021 120000 09925284
01 FC:1006 100.00 DA

09/851,614	05/08/2001	Yashwant M. Deo	CDJ-166RCE2	2003/0167502	09/04/2003
10/035,637	11/07/2001	Yashwant M. Deo	CDJ-166CPRCE	2003/0031667	02/13/2003
10/769,144	01/30/2004	Tibor Keler	CDJ-301RCE2	2004/0248215	12/09/2004
10/903,191	07/30/2004	Tibor Keler	CDJ-301CPRCE	2005/0180983	08/18/2005
12/266,745	11/07/2008	Tibor Keler	CDJ-346		
12/324,009	11/26/2008	Yashwant M. Deo	CDJ-166CPCN		

Office Actions (copies enclosed)		
Serial No.	Date Mailed from USPTO	Examiner
09/586,704	03/20/2002	Ronald B. Schwadron
09/586,704	10/01/2002	Ronald B. Schwadron
09/586,704	10/04/2004	Ronald B. Schwadron
09/586,704	07/22/2005	Ronald B. Schwadron
09/586,704	03/23/2006	Ronald B. Schwadron
09/586,704	03/14/2008	Ronald B. Schwadron
09/851,614	07/14/2004	Gerald R. Ewoldt
09/851,614	11/03/2004	Gerald R. Ewoldt
09/851,614	03/29/2006	Gerald R. Ewoldt
09/851,614	01/03/2007	Gerald R. Ewoldt
09/851,614	01/04/2008	Gerald R. Ewoldt
10/035,637	03/16/2004	Gerald R. Ewoldt
10/035,637	12/07/2004	Gerald R. Ewoldt
10/035,637	07/29/2005	Gerald R. Ewoldt
10/035,637	05/23/2006	Gerald R. Ewoldt
10/035,637	05/09/2007	Gerald R. Ewoldt
10/035,637	01/30/2008	Gerald R. Ewoldt
10/035,637	06/12/2008	Gerald R. Ewoldt
10/769,144	08/23/2005	Yunsoo Kim
10/769,144	12/29/2005	Yunsoo Kim
10/769,144	09/26/2006	Yunsoo Kim
10/769,144	07/26/2007	Yunsoo Kim
10/769,144	04/10/2008	Yunsoo Kim
10/769,144	11/21/2008	Yunsoo Kim

10/800,023	01/18/2007	Ronald B. Schwadron
10/800,023	07/09/2008	Ronald B. Schwadron
10/903,191	11/02/2006	Yunsoo Kim
10/903,191	05/17/2007	Yunsoo Kim
10/903,191	02/20/2008	Yunsoo Kim
10/903,191	12/04/2008	Yunsoo Kim

Applicants understand the Examiner can access additional papers from the prosecution of the cited cases electronically via PALM. However, if the Examiner would like paper copies, he or she is invited to call the undersigned who will supply them.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Application No.: 09/925,284

Docket No.: RUJ-001CNCPRCE2

Please charge our Deposit Account No. 12-0080 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 12-0080, under Order No. RUJ-001CNCPRCE2.

Dated: February 20, 2009

JGS/mch

Respectfully submitted,

By 

Jill Gorny Sloper, Esq.

Registration No.: 60,760

LAHIVE & COCKFIELD, LLP

One Post Office Square

Boston, Massachusetts 02109-2127

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicant